## IAP6 Rec'd PCT/PTO 30 MAR 2006

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES FISHER-Z-PCT-US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (IFKNOWN) SEE CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/AU2004/001330 28 September 2004 (28.09.2004) 01 October 2003 TITLE OF INVENTION: MANUFACTURE OF CHOCOLATE PRODUCTS APPLICANT(S) FOR DO/EO/US: Nathaniel Gordon Lucas, John Wesley Taylor Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), 3. (9) and (21) indicated below. In the US has been elected (Article 31). ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. 

is attached hereto (required only if not communicated by the International Bureau). b. 

has been communicated by the International Bureau. c. 

is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) 6. □ a. I is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. 

are attached hereto (required only if not communicated by the International Bureau). b. \( \Pi \) has been communicated by the International Bureau. c. \( \simega\$ have not been made; however, the time limit for making such amendments has NOT expired. d. 

have not been made and will not be made. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). ☑ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🗆 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: 11 🖾 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. 🗆 A substitute specification. 16. ☐ A power of attorney and/or change of address letter. 17. 

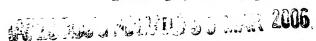
A computer-readable form of the sequence listing in accordance with pct rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4). 20. 

Other items or information:

PTO-1390 (Rev. 12-2004) or use through 3/31/2007, OMB 0651-0021

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CER 1.5) INTERNATIONAL APPLICATION NO.							ATTORNEY'S DOCKET NUMBER FISHER-Z-PCT-US		
The following fees are submitted:							CALCULATIONS	PTO use only	
21.   Basic national fee							\$300.00	1 10 dae only	
22.    Examination fee   If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)							\$200.00		
23.  Search fee  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							\$500.00		
TOTAL OF 21, 22 and 23 =							\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							\$	`	
Total Sh	otal Sheets E		tra sheets		each additional 50 or fraction ound <b>up</b> to a whole number)	RATE			
	43 -100 =		0/50 =	•		x \$250.00	\$0.00	-	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$.		
CLAIMS			NUMBER		NUMBER EXTRA	RATE	\$		
Total C	Total Claims 20		20-20=		0	× \$ 50.00	\$ 0.00		
Indeper	Independent Claims		2-3=		0 .	× \$200.00	\$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00							\$		
							\$1,000.00		
☑ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. \$500.00									
SUBTOTAL =							\$500.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							<b>\$</b>		
TOTAL NATIONAL FEE =							\$ 500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +									
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED =							\$ \$500.00		
Amount to be refunded:								\$	
Amount to be charged:								\$	
a. 🗵 A check in the amount of \$500.00 to cover the above fees is enclosed.									
b. □	D. □ Please charge my Deposit Account No. in the amount of \$ to cover the above fees.								
A duplicate copy of this sheet is enclosed.									
c. 🗵	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit No. <u>08-3150</u> . A duplicate copy of this sheet is enclosed.								
d. □	Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card</b> information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:							nely Gudale	<u>K</u>	
Daniel J. Hudak, Jr.									
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	/ 1 <u> </u>							TION NUMBER	

14/5/4255



## CERTIFICATE UNDER 37 C.F.R. § 1.10 OF MAILING BY "EXPRESS MAIL"

Applicant:

Nathaniel Gordon Lucas, et al.

International Application No.:

PCT/AU2004/001330

International Filing Date:

28 September 2004

Title:

MANUFACTURE OF CHOCOLATE PRODUCTS

Agent's Reference No.:

FISHER-Z-PCT-US

Date:

March 30, 2006

**EXPRESS MAIL RECEIPT NO.: EV 729416202 US** 

DATE OF DEPOSIT: March 30, 2006

The undersigned hereby certifies that the attached papers were deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

HUDAK, SHUNK & FARINE CO. LPA

Agent for Applicant

DJHjr/lb

Enclosures: Express Mail Certification

Return postcard

Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US)

Concerning a filing under 35 USC §371 (in duplicate)

Filing Fee by check of \$500.00

Unexecuted Declaration for Utility or Design Patent Application

Copy of International Application Published under the PCT

WO 2005/029971 A1 (45 pages including 17 pages of drawings)

with copy of International Search Report - 2 pages

Copy of PCT Request - 5 pages

Copy of International Preliminary Report on Patentability - 6 pages

Preliminary Amendment

Information Disclosure Statement, Form PTO-1449 and 3 refs.

Copy of form PCT/IB/308